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(54) Title: METHOD OF INDUCING AN IMMUNE RESPONSE WITH A LIVE VENEZUELAN EQUINE ENCEPHALITIS VIRUS EXPRESSING A HETEROLOGOUS IMMUNOGEN			
(57) Abstract			
<p>A method of protecting a subject against a disease comprises administering a recombinant Venezuelan Equine Encephalitis (VEE) Virus to the subject in an effective immunogenic amount, with the VEE virus containing a heterologous RNA segment, and with the heterologous segment comprising a promoter operable in the subject operatively associated with a sequence encoding an immunogenic protein or peptide effective for protecting the subject from the disease. Preferred promoters are VEE 26S subgenomic promoters, and preferred immunogens are viral immunogens. Novel attenuating mutations useful in carrying out the invention are also disclosed.</p>			

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METHOD OF INDUCING AN IMMUNE RESPONSE WITH A
LIVE VENEZUELAN EQUINE ENCEPHALITIS VIRUS
EXPRESSING A HETEROLOGOUS IMMUNOGEN

Field of the Invention

The present invention relates to live attenuated vaccines in general, and particularly relates to attenuated vaccine produced from Venezuelan Equine Encephalitis (VEE) virus.

Background of the Invention

Live, attenuated viral vaccines are among the most successful means of controlling viral disease. However, for some virus pathogens, immunization with a live virus strain may be either impractical or unsafe. One alternative strategy is the insertion of genes encoding immunizing antigens of such agents into the vaccine strain of another virus. However, relatively few such systems are currently available.

Hahn et al., Proc. Natl. Acad. Sci. USA 89, 2679 (1992), describes Sindbis virus constructs which express a truncated form of the influenza hemagglutinin protein. The constructs are used to study antigen processing and presentation *in vitro* and in mice.

Although no infectious challenge dose is tested, it is also suggested that such constructs might be used to produce protective B- and T-cell mediated immunity. The final paragraph of the discussion section states:

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"Although SIN is not likely to be approved for use as a human vaccine, a parallel approach to the one used here for SIN may be applicable for developing live-attenuated vaccine strains using viruses with similar replication strategies, such as attenuated strains of Venezuelan equine encephalitis virus.... (citing Davis et al.)" Insofar as applicant is aware, a problem with the Sindbis vector is that the heterologous insert is unstable therein and is "kicked out" of the vector, with the practical limit for stable inserts being about 1 kb.

Davis et al., U.S. Patent No. 5,185,440, describes cDNAs encoding the VEE virus and attenuated mutations which may be incorporated therein for use in making a vaccine. The use of a subgenomic expression system is neither suggested nor disclosed.

Summary of the Invention

A first aspect of the present invention is a method of protecting a subject against a disease. The method comprises administering a recombinant Venezuelan Equine Encephalitis (VEE) virus to the subject in an effective immunogenic amount, with the VEE virus containing at least one attenuating mutation (and typically two or even three different attenuating mutations), and with the VEE virus containing a heterologous DNA segment. The heterologous DNA segment comprises a promoter operable in the subject operatively associated with a DNA encoding an immunogenic protein or peptide effective for protecting the subject from the disease. The heterologous insert may, optionally, itself serve as an attenuating mutation.

In one preferred embodiment, the heterologous DNA segment of the VEE virus is derived from the genome of a pathogenic organism. According to this embodiment, the heterologous DNA segment comprising the promoter operatively associated with the DNA encoding the immunogenic protein or peptide is effective for

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protecting the subject from the disease caused by the pathogenic organism.

A second aspect of the present invention is a DNA comprising a cDNA clone coding for an infectious 5 Venezuelan Equine Encephalitis (VEE) virus RNA transcript and a heterologous promoter positioned upstream from the cDNA clone and operatively associated therewith, and further comprising at least one attenuating mutation selected from the group consisting of codons at E1 amino 10 acid 272 which specify an attenuating mutation; codons at E1 amino acid 81 which specify an attenuating mutation; and codons at E1 amino acid 253 which specify an attenuating mutation.

A third aspect of the present invention is a 15 DNA comprising a cDNA clone coding for an infectious Venezuelan Equine Encephalitis (VEE) virus RNA transcript and a heterologous promoter positioned upstream from said cDNA clone and operatively associated therewith, and further comprising: (a) a first attenuating mutation 20 which is a codon at E1 amino acid 253 which specifies an attenuating mutation; and (b) a second attenuating mutation which is an inactivated E3 amino acid 56 to 59 cleavage recognition site.

Further aspects of the present invention 25 include an infectious VEE virus RNA transcript encoded by cDNA clones as given herein; infectious VEE virus particles containing such RNA transcripts; and pharmaceutical formulations comprising such infectious VEE virus particles, in an effective immunogenic amount, 30 in a pharmaceutically acceptable carrier.

Frolov et al., *Proceedings IXth International Congress of Virology, Glasgow, Scotland, August 8-13, 1993*, pg. 67, discusses recombinant VEE viruses which express a Hepatitis B virus antigenic protein. The use 35 of an attenuated VEE virus, strain 230, is described. However, it is not suggested that the attenuated virus itself be administered to humans. To the contrary, these

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viruses are used to manufacture the antigenic Hepatitis B virus proteins themselves in tissue culture, which are then harvested and administered to humans. Strain 230 itself replicates poorly, if at all, in humans.

5 The foregoing and other objects and aspects of the present invention are explained in detail in the specification set forth below.

Brief Description of the Drawings

Figure 1 is an illustration of the structure of
10 the shuttle vector containing the structural genes of VEE with three attenuating mutations in the E2 gene, and a second 26S promoter and multiple cloning site inserted directly downstream from the C-terminus of E1, in the 3.2 kb pUC118 plasmid.

15 Figures 2a-2c illustrate double promoter vectors.

Figure 3 is a Northern blot analysis of total intracellular RNA from baby hamster kidney (BHK) cells infected with an attenuated VEE mutant, with the mutant
20 containing an HA gene inserted downstream of a second subgenomic promoter, or with the mutant containing an inserted HA gene in a non-coding orientation.

Figures 4a-4d illustrate the immunocytochemical staining of VEE vector-infected BHK cell monolayers.
25 Cells were infected with either influenza PR/8/34, VEE vector containing the complete influenza HA gene, VEE vector without insert.

Figures 5a-5c are graphical illustrations of the clinical signs observed in inoculated mice challenged
30 intranasally with influenza. Mice were inoculated with PBS, VEE vector without insert, or VEE vector with the complete influenza HA gene.

Figure 6 is a graphical illustration of pre-viral challenge anti-flu IgG ELISA titers of serum
35 obtained from inoculated mice. Mice were inoculated with

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PBS, VEE vector without insert, or VEE vector with the complete influenza HA gene.

Figure 7 is a graphical illustration of the titer of viable influenza virus observed in lung tissue 5 of inoculated mice 4 days after challenge with influenza. Mice were inoculated with PBS, VEE vector without insert, or VEE vector with the complete influenza HA gene.

Detailed Description of the Invention

Complementary DNA sequences encoding live
10 Venezuelan Equine Encephalitis (VEE) virus and pharmaceutical formulations containing the same are known. See, e.g., N. Davis et al., U.S. Patent No. 5,185,440 (Applicant specifically intends that the disclosures of all patent references cited herein be
15 incorporated herein by reference in their entirety).

The phrases "attenuating mutation" and "attenuating amino acid" as used herein mean a nucleotide mutation or an amino acid coded for in view of such mutation which result in a decreased probability of
20 causing disease in its host (i.e., a loss of virulence), in accordance with standard terminology in the art (See, e.g., B. Davis et al., *Microbiology*, 132 (3d ed. 1980), whether the mutation be a substitution mutation or an in-frame deletion mutation. The phrase "attenuating
25 mutation" excludes mutations which would be lethal to the virus. Examples of known VEE attenuating mutations include codons at E2 amino acid position 76 which specify an attenuating mutation, codons at E2 amino acid position 209 which specify an attenuating mutation, and codons at
30 E2 amino acid 120 which specify an attenuating mutation (see, e.g., U.S. Patent No. 5,185,440 to N. Davis et al.); a G to C mutation at viral RNA nucleotide 3.

Novel attenuating mutations disclosed herein which may be used to carry out the present invention
35 include codons at E1 amino acid 272 which specify an attenuating mutation (preferably a substitution mutation,

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such as a threonine or serine (most preferably threonine)); codons at E1 amino acid 81 which specify an attenuating mutation (preferably a substitution mutation, such as an isoleucine or leucine (most preferably isoleucine)); and codons at E1 amino acid 253 which specify an attenuating mutation (preferably a substitution mutation such as a serine or threonine (most preferably serine)).

A novel pair of attenuating mutations which may 10 be inserted together in a cDNA clone encoding an attenuated VEE virus is (a) a first attenuating mutation which is a codon at E1 amino acid 253 which specifies an attenuating mutation; and (b) a second mutation which is an inactivated E3 amino acid 56 to 59 cleavage 15 recognition site. An advantage of this combination of attenuating mutations is that the inactivated cleavage site, of itself, is lethal to the virus. Thus, if the attenuating mutation at E1 amino acid 253 reverts to the virulent wild-type, the remaining mutation kills the 20 virus. The E3 amino acid 56 to 59 cleavage recognition site may be inactivated by any suitable means: the cleavage recognition site may be deleted, in whole or in part; a substitution mutation may be made therein (e.g., an arginine to aspartic acid substitution mutation at 25 amino acid 59).

Attenuating mutations may be introduced into cDNAs encoding live VEE by any suitable means, such as site-directed mutagenesis (see, e.g., U.S. Patent No. 4,873,192 to Kunkel).

30 The immunogenic protein or peptide, or "immunogen" may be any immunogen suitable for protecting the subject against a disease, including but not limited to microbial, bacterial, protozoal, parasitic, and viral diseases. For example, the immunogen may be an influenza 35 virus immunogen (e.g., an influenza virus hemagglutinin (HA) surface protein, or an equine influenza virus immunogen), or a lentivirus immunogen (e.g., an equine

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infectious anemia virus immunogen, a Human Immunodeficiency Virus (HIV) immunogen, such as an HIV-1 immunogen, or an HIV-2 immunogen). The immunogen may also be a coronavirus immunogen (e.g., a transmissible 5 gastroenteritis virus immunogen for pigs, or an infectious bronchitis virus immunogen for chickens,) or a flavivirus immunogen (e.g., a yellow fever virus immunogen or a Japanese encephalitis virus immunogen). If desired, an advantage of the instant invention is that 10 the heterologous insert containing the DNA encoding the immunogen as given above may be a relatively large insert, at least 1 kilobase in length.

The heterologous promoter is preferably a Venezuelan equine encephalitis virus 26S subgenomic 15 promoter. This definition is intended to include derivatives of this promoter such as deletion mutants thereof, so long as activity as a promoter is retained.

Subjects which may be administered the live attenuated viruses and vaccine formulations disclosed 20 herein include both human and animal (e.g., horse, donkey, pigs, mouse, hamster, monkey, chicken) subjects.

Vaccine formulations of the present invention comprise an immunogenic amount of a live attenuated virus as disclosed herein in combination with a 25 pharmaceutically acceptable carrier. An "immunogenic amount" is an amount of the attenuated virus sufficient to evoke an immune response, particularly an immune response to the protein or peptide encoded by the heterologous DNA carried by the virus, in the subject to 30 which the virus is administered. An amount of from about 10^1 to 10^5 plaque forming units of the live virus per dose is suitable, depending upon the age and species of the subject being treated. Exemplary pharmaceutically acceptable carriers include, but are not limited to, 35 sterile pyrogen-free water and sterile pyrogen-free physiological saline solution.

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Administration of the live attenuated viruses disclosed herein may be carried out by any suitable means, including both parenteral injection (such as intraperitoneal, subcutaneous, or intramuscular 5 injection), by in ovo injection in birds, and by topical application of the virus (typically carried in the pharmaceutical formulation) to an airway surface. Topical application of the virus to an airway surface can be carried out by intranasal administration (e.g., by use 10 of a dropper, swab, or inhaler which deposits a pharmaceutical formulation intranasally). Topical application of the virus to an airway surface can also be carried out by inhalation administration, such as by creating respirable particles of a pharmaceutical 15 formulation (including both solid particles and liquid particles) containing the virus as an aerosol suspension, and then causing the subject to inhale the respirable particles. Methods and apparatus for administering respirable particles of pharmaceutical formulations are 20 well known, and any conventional technique can be employed. See, e.g., U.S. Patent No. 5,304,125 to D. Leith; U.S. Patent No. 5,299,566 to C. Davis and R. Snyder; U.S. Patent No. 5,290,550 to R. Fisher and W. Metzger; and U.S. Patent No. 5,292,498 to R. Boucher. 25 The following examples are provided to illustrate the present invention, and should not be construed as limiting thereof.

EXAMPLE 1

Construction of a "Second Promoter" Expression Vector

30 A full-length cDNA clone derived from the TRD strain of VEE, pv3507, and containing three attenuating mutations in the E2 gene was employed in this study. The mutations occur at E2 76 lys, E2 120 lys and E2 209 lys, as reported in Davis et al., *Virology* 183:20 (1991). The 35 cDNA clone was digested with Tth111I, converted to blunt ends with Klenow fragment of *E. coli* DNA polymerase, and

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then digested with EcoRI. The 3.9 kb fragment was isolated and ligated with M12 mp19 RF DNA that had been digested with HindIII, treated with Klenow fragment and then digested with EcoRI. The resulting M13 phage, TE3,
5 contained the structural gene region of pV3507, but not the 26S promoter region. The HindIII site was regenerated in the ligation. Single-stranded DNA from phage was produced following transformation of *E. coli* CJ236 (dut-ung-) with TE3 and used in the procedure
10 outlined by Kunkel, *Proc. Natl. Acad. Sci. USA* 82:488 (1985), with a synthetic oligonucleotide designed to give the following insertion (in bold):

3' end of E1 gene - -34/+14 26S promoter-ClaI site - the 5' end of 3' untranslated region
nt11,315-nt11,326 nt11,327-nt11,338

15 AAACATAATTGA/GAGGGGCCCTATAACTCTACGGCTAACCTGAATGGACTACGACATCG/ATACAGCAGCAA

(SEQ ID NO: 1) The regions flanking the inserted sequence are identified by nucleotide numbers based on the full-length VEE sequence, as identified by Kinney et al., *Virology* 170:19 (1989).

20 The correct insertion was identified initially by screening with ClaI digestion of phage RF DNA, and confirmed by sequencing across the junction between the E1 gene and the 3'-untranslated region on single-stranded phage DNA.

25 Thereafter, the DNA fragment containing the pV3507 structural genes and the inserted second 26S promoter was subcloned into pUC118 using HindIII and EcoRI. The HindIII and EcoRI sites were removed by digestion followed by conversion to blunt ends with
30 Klenow fragment and religation to form the pUC118 second promoter clone.

A 1.5 kb SalI-SalI "stuffer" fragment lacking an initiator AUG codon was isolated from the glycoprotein gene region of the TR5000 full-length Sindbis clone
35 (identified by Schoepp et al., *Virology* 193:149 (1993)), and inserted into the SalI site of the ClaI adaptor

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sequence was ligated to BamHI digested, dephosphorylated shuttle vector DNA. V4002 DNA was cut with ClaI and dephosphorylated. Thereafter, the ClaI fragment containing the HA sequence was purified from the shuttle 5 vector and ligated to prepare V4002 DNA. Clones containing the HA gene in the multiple cloning site downstream of the second 26S promoter were identified using digestion with HpaI. Clones including both coding (pV4002HA) and noncoding (pV4002AH) orientations were 10 identified. Tests for the specific infectivity of the transcripts from these clones showed that they were as infectious as parental transcripts, but that these HA-containing genomes made smaller plaques on baby hamster kidney (BHK) cell monolayers. From these results, we 15 determined that the VEE expression vector containing the three attenuating mutations in E2 and an inserted 1.7 kb HA gene was still replication competent, but appeared to grow more slowly than the vector without an insert.

EXAMPLE 3

20 Stability Test of HA Containing Vectors During
Replication in Tissue Culture

Total RNA from vector-infected cells was analyzed for HA sequence-containing subgenomic RNA transcripts using Northern blots probed with VEE-specific 25 or HA-specific probes as described in Sambrook et al., Molecular Cloning pp 7.39-7.52. Purified cytoplasmic RNA was glyoxylated, electrophoresed on agarose gels containing 0.01 M sodium phosphate (pH 7), and transferred to Biotrans nylon membranes (ICN) with 7.5 M 30 sodium hydroxide. A VEE-specific ³²P-labeled riboprobe was made using a subclone of the VEE glycoprotein gene region (nt 9493 to 10486) in the pGEM3 transcription vector, pGEM19. The pGEM4 HA clone obtained from Dr. Andy Caton at the Wistar Institute was used to generate 35 an HA-specific ³²P-label d riboprobe. (The HA clone used contained a single nucleotide deletion which resulted in

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plasmid. The Cla12 plasmid containing a multiple cloning site (mcs) flanked by ClaI sites was identified by Hughes et al., *J. Virol.* 61:3004 (1987).

Using ClaI, the multiple cloning site 5 containing the inserted TR5000 sequence was cloned into the unique ClaI site of the pUC118 second promoter clone. Digestion with SalI and self-ligation produced the shuttle vector with the structure shown in Figure 1.

Thereafter, a viable full-length VEE second 10 promoter expression vector including three attenuating mutations was prepared. The 3.4 kb Af1III-NotI fragment isolated from the shuttle vector and containing a portion of the viral structural genes, the inserted downstream second promoter and the 3'-untranslated region, was used 15 to replace the homologous Af1III-NotI region of the pV3507 full-length clone. Transformants were screened with ClaI. Plasmids containing a ClaI site were linearized at the unique NotI site and transcribed in vitro with T7 RNA polymerase. Transcripts were quantitated by 20 incorporation of alpha-³²P-labeled UTP. Thereafter, monolayers were transfected with transcripts using cationic liposomes (Lipofectin, BRL) and then overlaid with agarose for assay of plaque formation. The transcripts had specific infectivities comparable to 25 those produced from the virulent pV3000 parent clone, indicating that they were fully infectious. Figures 2a-2c illustrate double promoter vectors. Figure 2b is an illustration of pV4002, one of the downstream second promoter expression vectors.

30

EXAMPLE 2

Construction of an Expression Vector Containing the Influenza HA gene

The complete coding sequence of the HA gene from influenza strain PR/8 cloned into the BamHI site of 35 pGem4 was obtained from Dr. Andy Caton at the Wistar Institute. The 1.7 kb BamHI fragment containing the HA

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translation of a truncated protein. This mutation affected our ability to detect any expression of protein from this vector, although subgenomic HA-containing viral RNAs were detected.) Duplicate membranes were hybridized 5 to either probe, dried and exposed to x-ray film. The results are illustrated in Figure 3. Lanes A and D contain total cytoplasmic RNA from cells infected with a VEE strain containing three attenuating mutations in E2. Lanes B and E contain total cytoplasmic RNA from cells 10 infected with the same mutant strain with a second subgenomic promoter followed by the influenza HA gene in the coding orientation (V4002HA). Lanes C and F contain total cytoplasmic RNA from cells infected with the VEE vector containing the HA gene in the noncoding 15 orientation (V4002AH). Lanes A, B and C were probed with a ³²P-labeled riboprobe complementary to a portion of the influenza HA gene. Lanes D, E and F were probed with a ³²P-labeled riboprobe complementary to a portion of the VEE glycoprotein genes. The positions of ribosomal RNA 20 markers were determined in a parallel lane stained with ethidium bromide. VEE genome length RNA (40S) was not detectable in this experiment.

The results indicate that deletion mutants of both the V4002HA and V4002AH vectors were arising during 25 replication in tissue culture. However, some subgenomic RNAs in V4002HA-infected cells still contained HA sequences, and a significant fraction of these were of a size to accommodate the complete HA gene. The results revealed some instability of the inserted sequence.

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EXAMPLE 4

Expression in Cultured BHK cells of Influenza PR/8 HA

Gene from a Downstream Promoter Expression Vector

with Two Attenuating Mutations

5 The complete coding sequence of the HA gene from influenza PR/8 cloned into the HindIII site of pBR322 was obtained from Dr. P. Palese at the Mt. Sinai School of Medicine. The HA-containing HindIII fragment was ligated to the HindIII-cut and dephosphorylated
10 shuttle vector (see Figure 1). Clal was then used to insert these HA sequences in both coding (V4036a) and noncoding (V4036e) orientations into a VEE second promoter expression vector that carried two attenuating mutations, E2 lys 209 and E1 thr 272. RNA transcribed in
15 vitro from these clones showed comparable specific infectivities to RNA from the parental clone without the HA gene. Virus-containing supernatants obtained following transfection of BHK cells with cationic liposomes contained both small and large plaques, with
20 the proportion of large plaques increasing with time. A greater proportion of large plaque variants were seen with V4036a, carrying HA in the coding orientation, than with V4036e.

BHK cell monolayers were infected at a
25 multiplicity of 1 with either egg-grown influenza virus (PR/8 strain), the second promoter expression vector with the HA gene in the coding orientation, or the vector without the insert. At 6 hr post-infection, the monolayers were fixed with methanol:acetone (1:1) at
30 20 °C and air dried. Using the horseradish peroxidase, biotin-avidin detection system (Vector labs), cells were tested for the presence of viral antigens using an HA-specific monoclonal antibody, or VEE-specific hyperimmune mouse ascites fluid, as primary antibodies. Cells were
35 then counterstained with Meyer's hematoxylin. The monolayers infected with influenza PR/8 or with the coding HA vector showed positive staining for HA. The

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- results are illustrated in Figures 4a-4d. Figure 4a illustrates cells infected with influenza PR/8 stained with anti-HA antibody. Figure 4b illustrates VEE HA-vector infected cells stained with anti-HA antibody.
- 5 Figure 4c illustrates cells infected with VEE vector without insert stained with anti-VEE antibody. Figure 4d illustrates cells infected with VEE vector without insert stained with anti-HA antibody.

The HA stain in HA vector infected cells was
10 cytoplasmic, and was as intense, under these conditions,
as the staining for HA in the influenza-infected control
monolayers. These results indicate that influenza HA can
be expressed at normal levels from the second 26S
promoter in a form that is detectable by this anti-HA
15 monoclonal antibody, and that an infectious VEE virus
carrying the inserted gene can be produced.

EXAMPLE 5

Protection of Mice Against Influenza Challenge

Four-week-old CD-1 mice were inoculated
20 subcutaneously into each rear footpad with 1×10^4 pfu of
(1) diluent (PBS) alone, (2) the HA-expressing doubly
attenuated vector (V4036a), or with (3) the vaccine
vector without insert. Three weeks later, the mice were
challenged intranasally with 10^5 EID₅₀ (50% egg infectious
25 dose) of influenza virus. The results are reported in
Figures 5a-5c. All 24 control mice suffered severe
disease and 50% died. Only one of 12 HA-vector-
inoculated mice died, and another exhibited signs of
disease for one day and recovered.

30 Pre-challenge anti-flu serum IgG ELISA titers
were measured, and the results are illustrated in Figure
6. The geometric mean ELISA titer of anti-HA serum IgG
in the HA-vector inoculated mice was 246, while sera from
only 3 of 24 control mice gave a detectable titer, and
35 they were positive only at the lowest dilution tested
(1:50). The two HA-vector-inoculated mice affected by

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the influenza challenge showed no detectable anti-HA IgG. Therefore, in 10 of 12 mice, the HA-vector elicited a detectable level of anti-HA serum IgG, and 11 of 12 mice were protected against lethal influenza challenge.

5

EXAMPLE 6

Protection of Mice Against Influenza Challenge

Four-week-old CD-1 mice were inoculated subcutaneously into each rear footpad with 1×10^4 pfu of (1) diluent (PBS) alone, (2) the HA-expressing doubly attenuated vector, or with (3) the vaccine vector without insert. Three weeks later, the mice were challenged intranasally with 10^5 EID₅₀ (50% egg infectious dose) of influenza virus. The lungs were removed 4 days after challenge. Lung tissue was homogenized in PBS + 0.1% BSA to give a 20% suspension, centrifuged, aliquoted and frozen at -70°C. For each animal, two aliquots were assayed for pfu on MDCK cells under agarose containing 0.1% trypsin. No influenza infectivity was detected in the lungs of mice previously immunized with the HA-vector at a detection level of 1.25×10^2 pfu/gm tissue (25 pfu/average lung). The geometric mean titers (represented by solid dots) calculated for the animals in the control groups with measurable virus titers, were 3.04×10^6 pfu/gm for PBS-inoculated mice, and 1.93×10^6 pfu/gm for mice inoculated with VEE vector alone. The results are reported in Figure 7. The results suggest a very low level of challenge virus replication in the vaccinated animals.

The foregoing is illustrative of the present invention and is not to be construed as limiting thereof. The invention is defined by the following claims, with equivalents of the claims to be included therein.

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SEQUENCE LISTING

(1) GENERAL INFORMATION:

- (i) APPLICANT: Johnston, Robert E.
Davis, Nancy L.
Smith, Jonathon F.
Grieder, Franziska B.
- (ii) TITLE OF INVENTION: METHOD OF INDUCING AN IMMUNE RESPONSE
WITH A LIVE VENEZUELAN EQUINE ENCEPHALITIS VIRUS
EXPRESSING A HETEROLOGOUS IMMUNOGEN
- (iii) NUMBER OF SEQUENCES: 1

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- (v) COMPUTER READABLE FORM:
(A) MEDIUM TYPE: Floppy disk
(B) COMPUTER: IBM PC compatible
(C) OPERATING SYSTEM: PC-DOS/MS-DOS
(D) SOFTWARE: PatentIn Release #1.0, Version #1.25

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(C) CLASSIFICATION:

- (viii) ATTORNEY/AGENT INFORMATION:
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(C) REFERENCE/DOCKET NUMBER: 5470-79

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(2) INFORMATION FOR SEQ ID NO:1:

- (i) SEQUENCE CHARACTERISTICS:
(A) LENGTH: 74 base pairs
(B) TYPE: nucleic acid
(C) STRANDEDNESS: single
(D) TOPOLOGY: linear

-17-

(ii) MOLECULE TYPE: DNA (genomic)

(iii) HYPOTHETICAL: NO

(iv) ANTI-SENSE: NO

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

AAACATAATT GAGAGGGGCC CCTATAACTC TCTACGGCTA ACCTGAATGG ACTACGACAT	60
CGATACAGCA GCAA	74

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CLAIMS:

1. A method of protecting a subject against a disease, comprising:

administering a recombinant Venezuelan Equine

- 5 Encephalitis (VEE) virus to said subject in an effective immunogenic amount, with said VEE virus containing at least one attenuating mutation, and with said VEE virus containing a heterologous DNA segment, said heterologous DNA segment comprising a promoter operable in said
10 subject operatively associated with a DNA encoding an immunogenic protein or peptide effective for protecting said subject from said disease.

2. A method according to claim 1, wherein said disease is a viral disease.

- 15 3. A method according to claim 1, wherein said immunogenic protein or peptide is selected from the group consisting of influenza immunogens, lentivirus immunogens, coronavirus immunogens, and flavivirus immunogens.

- 20 4. A method according to claim 1, wherein said heterologous DNA is at least 1 kilobase in length.

5. A method according to claim 1, wherein said heterologous DNA encodes an influenza immunogen.

- 25 6. A method according to claim 1, wherein said heterologous DNA encodes an influenza virus hemagglutinin (HA) surface protein.

7. A method according to claim 1, wherein said heterologous DNA encodes a lentivirus immunogen.

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8. A method according to claim 1, wherein said heterologous DNA encodes a coronavirus immunogen.

9. A method according to claim 1, wherein said heterologous DNA encodes a flavivirus immunogen.

5 10. A method according to claim 1, wherein said subject is a horse and said heterologous DNA encodes an equine infectious anemia virus immunogen.

10 11. A method according to claim 1, wherein said heterologous promoter is a Venezuelan equine encephalitis virus 26S subgenomic promoter.

15 12. A method according to claim 1, wherein at least one attenuating mutation is selected from the group consisting of codons at E2 amino acid position 76 which specify an attenuating mutation, codons at E2 amino acid position 209 which specify an attenuating mutation, codons at E2 amino acid 120 which specify an attenuating mutation; a G to C mutation at viral RNA nucleotide 3; codons at E1 amino acid 272 which specify an attenuating mutation; codons at E1 amino acid 81 which specify an 20 attenuating mutation; and codons at E1 amino acid 253 which specify an attenuating mutation.

13. A method according to claim 1, wherein said administering step is a parenteral administration step.

25 14. A method according to claim 1, wherein said administering step is carried out by topically applying said virus to an airway surface of said subject.

30 15. A method according to claim 1, wherein said administering step is an intranasal administration step.

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16. A method according to claim 1, wherein said administering step is an inhalation administration step.

17. A DNA comprising a cDNA clone coding for 5 an infectious Venezuelan Equine Encephalitis (VEE) virus RNA transcript and a heterologous promoter positioned upstream from said cDNA clone and operatively associated therewith, and further comprising at least one attenuating mutation selected from the group consisting 10 of codons at E1 amino acid 272 which specify an attenuating mutation; codons at E1 amino acid 81 which specify an attenuating mutation; and codons at E1 amino acid 253 which specify an attenuating mutation.

18. An infectious VEE virus RNA transcript 15 encoded by a cDNA clone of claim 17.

19. Infectious VEE virus particles containing an RNA transcript of claim 18.

20. A pharmaceutical formulation comprising infectious VEE virus particles according to claim 19 in 20 an effective immunogenic amount in a pharmaceutically acceptable carrier.

21. A DNA comprising a cDNA clone coding for 25 an infectious Venezuelan Equine Encephalitis (VEE) virus RNA transcript and a heterologous promoter positioned upstream from said cDNA clone and operatively associated therewith, and further comprising:

a first attenuating mutation which is a codon at E1 amino acid 253 which specifies an attenuating mutation; and

30 a second mutation which is an inactivated E3 amino acid 56 to 59 cleavage recognition site.

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22. A DNA according to claim 21, wherein said E3 amino acid 56 to 59 cleavage recognition site is deleted.

23. A DNA according to claim 21, wherein said 5 E3 amino acid 56 to 59 cleavage recognition site contains an inactivated substitution mutation.

24. A DNA according to claim 21, wherein said inactivating E3 amino acid 56 to 59 cleavage recognition site contains an arginine to aspartic acid substitution 10 mutation at amino acid 59.

25. An infectious VEE virus RNA transcript encoded by a cDNA clone of claim 21.

26. Infectious VEE virus particles containing an RNA transcript of claim 25.

15 27. A pharmaceutical formulation comprising infectious VEE virus particles according to claim 26 in an effective immunogenic amount in a pharmaceutically acceptable carrier.

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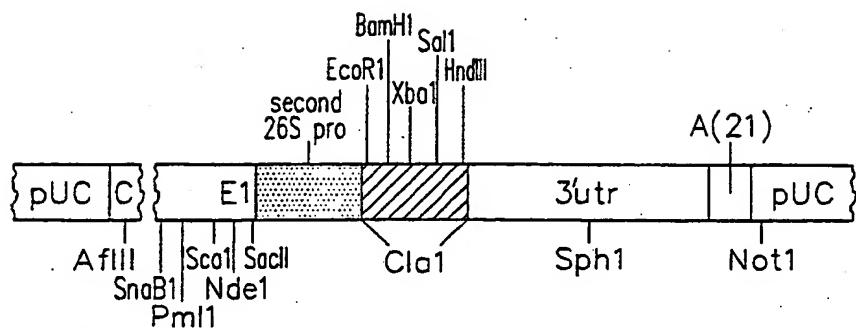


FIG. 1.

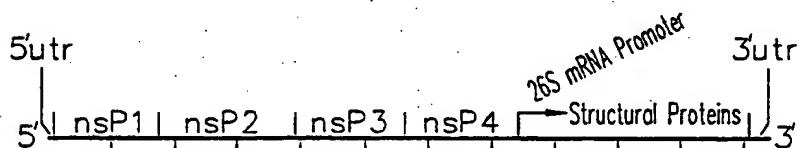


FIG. 2a.

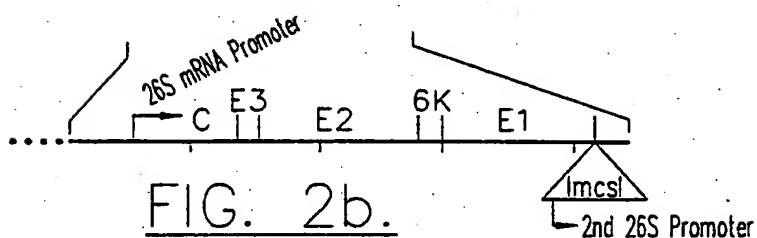


FIG. 2b.

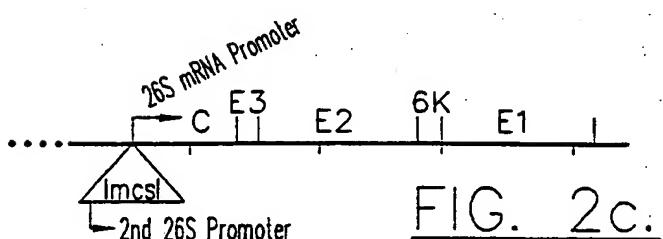


FIG. 2c.

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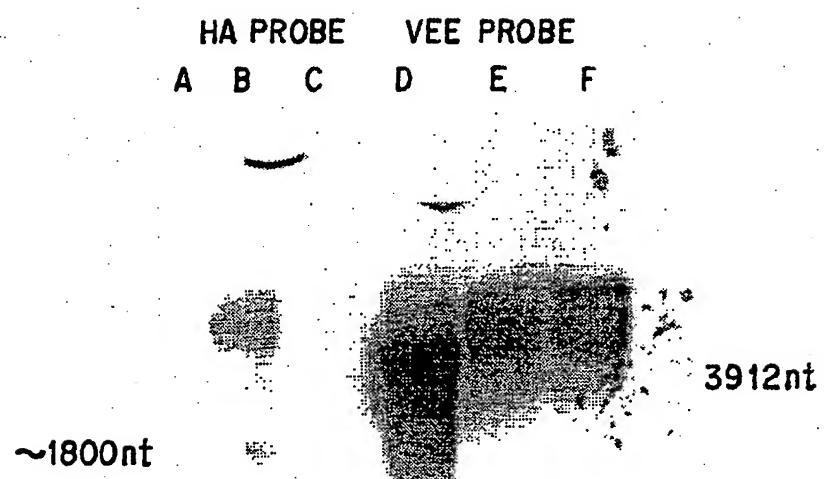


FIG. 3

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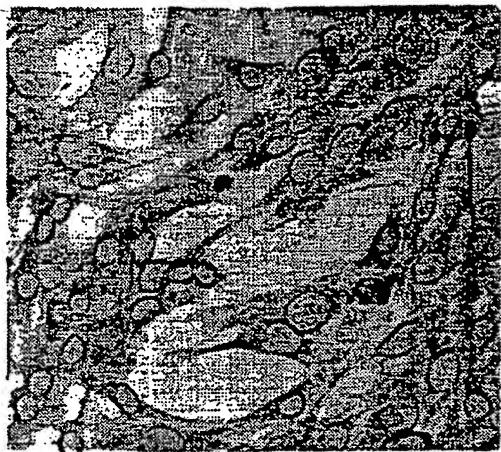


FIG. 4a



FIG. 4b



FIG. 4c

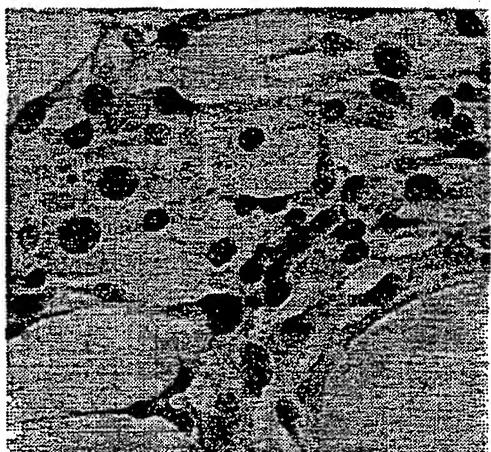


FIG. 4d

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PBS-INOCULATED

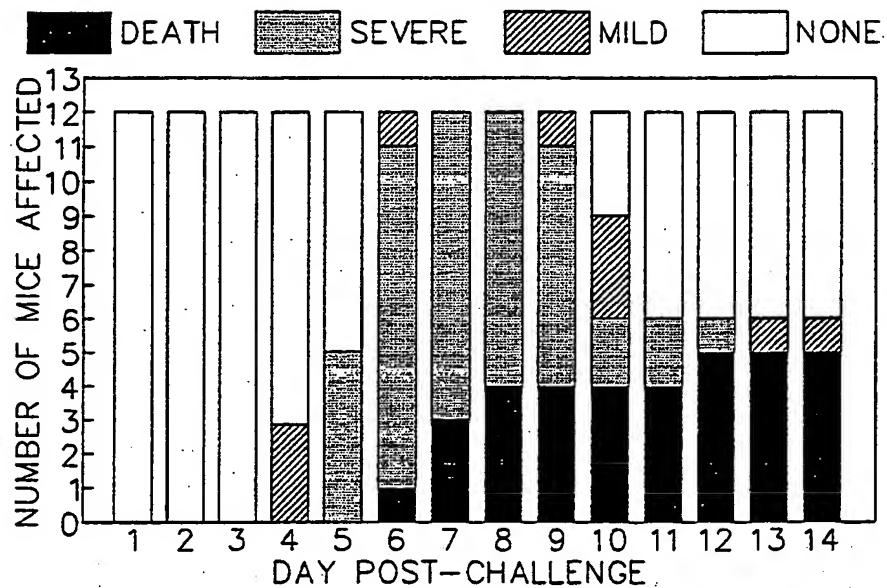


FIG. 5a.

HA-VECTOR INOCULATED

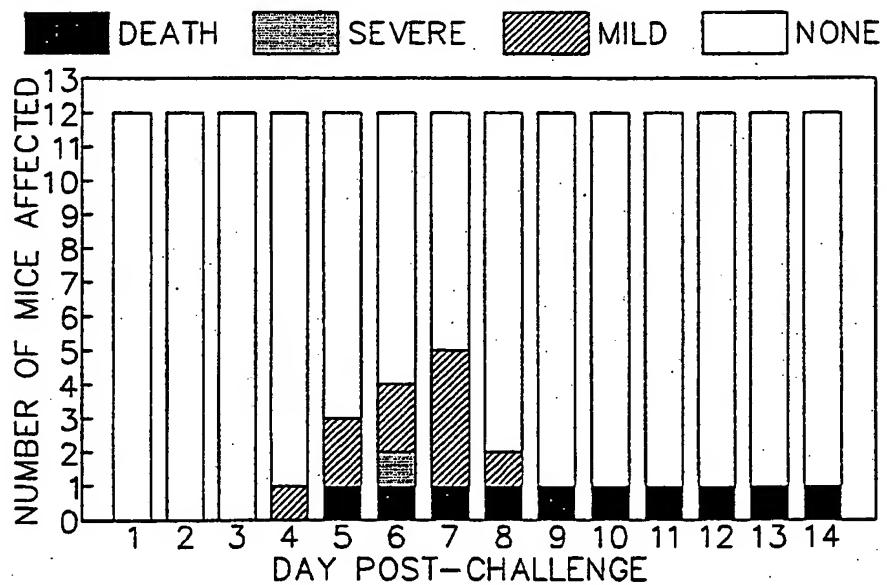
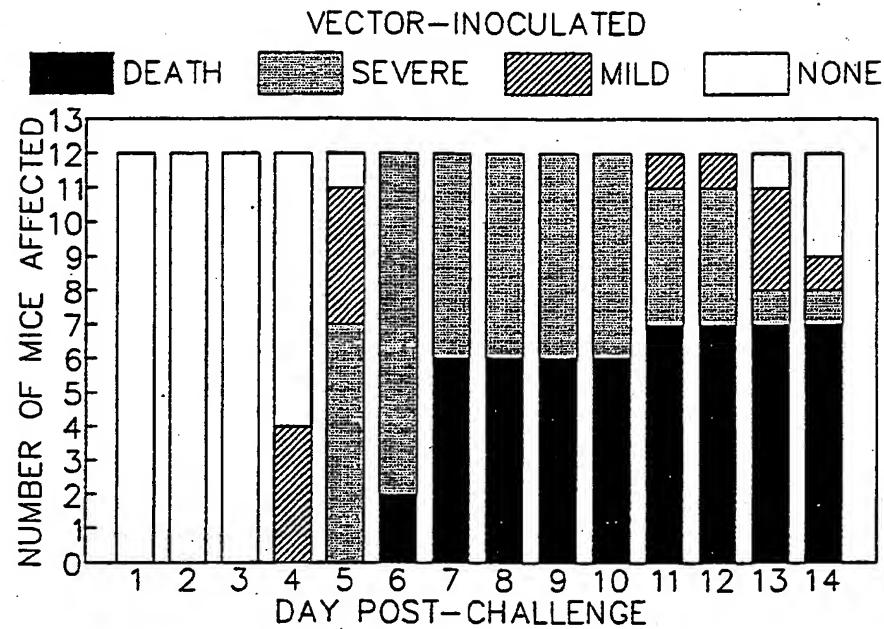
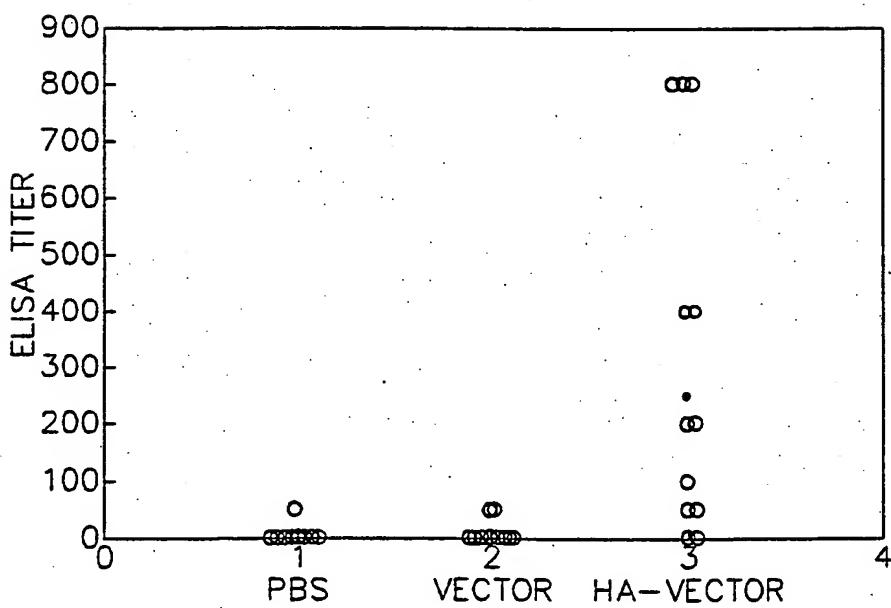


FIG. 5b.

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FIG. 5c.FIG. 6.

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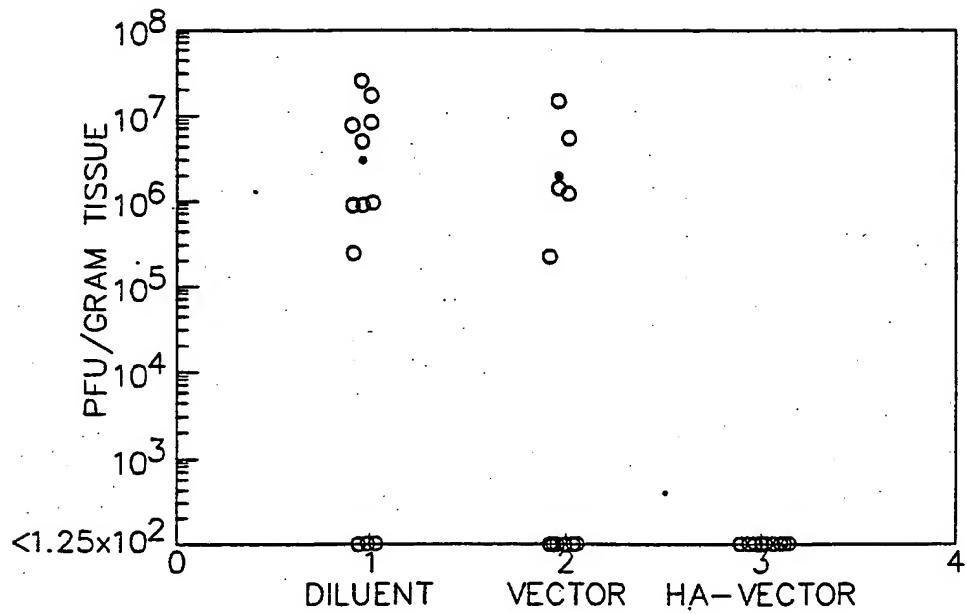


FIG. 7.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US95/06739

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :A61K 39/193.39/295; C12N 7/01, 7/04, 15/40, 15/86
US CL :424/199.1, 218.1; 536/24.72; 435/235.1

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/199.1, 218.1; 536/24.72; 435/235.1

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Please See Extra Sheet.

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US, A, 5,185,440 (DAVIS ET AL) 09 FEBRUARY 1993, see entire document.	1-16
Y	Proceedings of the National Academy of Sciences USA, Volume 89, issued January 1992, London et al, "Infectious enveloped RNA virus antigenic chimeras", pages 207-211, see entire document.	1-16
Y	Journal of Virology, Volume 67, Number 11, issued November 1993, Bredenbeek et al, "Sindbis Virus Expression Vectors: Packaging of RNA Replicons by Using Defective Helper RNAs", pages 6439-6446, see entire document.	4

Further documents are listed in the continuation of Box C.

See patent family annex.

• Special categories of cited documents:	
A	document defining the general state of the art which is not considered to be of particular relevance
E	earlier document published on or after the international filing date
L	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
O	document referring to an oral disclosure, use, exhibition or other means
P	document published prior to the international filing date but later than the priority date claimed
"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"Z"	document member of the same patent family

Date of the actual completion of the international search

08 SEPTEMBER 1995

Date of mailing of the international search report

22 SEP 1995

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INTERNATIONAL SEARCH REPORTInternational application No.
PCT/US95/06739**C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	Archives of Virology Supplementum, Number 9, issued March 1994, Davis et al, "A molecular genetic approach to the study of Venezuelan equine encephalitis virus pathogenesis", pages 99-109, see entire document.	17-27

INTERNATIONAL SEARCH REPORT

international application No.
PCT/US95/06739

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:

2. Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

Please See Extra Sheet.

1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:

4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest



The additional search fees were accompanied by the applicant's protest.



No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US95/06739

B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used):

U.S. Automated Patent System, Medline, Biosis, CAB. Keywords: Davis NL, sindbis, recombinant, equine, enceph?, VEE, attenuat?, E1, E3, process?, cleav?, semliki, toga?, alphavir?, alpha, virus, viral, ross river, sequenc?, vaccine.

BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING

This ISA found multiple inventions as follows:

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

Group I, claim (s)1-16, drawn to immunization method using attenuated VEE containing a heterologous insert.
Group II, claim(s) 17-27, drawn to VEE with specified attenuating mutations, nucleic acids encoding the same, and pharmaceutical compositions.

The inventions listed as Groups I-II do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: Group I is drawn to a first process of using VEE virus, and group II is drawn to VEE viruses and materials used in making the viruses. The group I process requires VEE virus having a heterologous insert, while the group II products do not require a heterologous insert. Therefore the group I process involves a special technical feature lacking in the group II products. Furthermore, the group I process as broadly claimed does not require the particulars of the attenuating mutations as required in group II. Accordingly, the claims are not so linked by a special technical feature within the meaning of PCT Rule 13.2 so as to form a single inventive concept.